

1700

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**TELECOMMUNICATIONS - ON CANON FAX-A501**

DATE: November 9, 2001  
FROM: Kathleen D. Rigaut  
DELIVER TO: Customer Corrections  
U.S. Patent and Trademark Office  
Fax No.: 1-703-308-7751

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JAN - 7 2001  
TECHNOLOGY CENTER 1700

RE: U.S. Patent Application No. 09/914,224

OPERATOR : Janice M. Nightlinger

Total Pages (including this cover) 4

**IF THE ACCOMPANYING/ABOVE MESSAGE IS NOT RECEIVED PROPERLY,  
PLEASE CALL (215) 563-4100 AND LEAVE A MESSAGE FOR THE OPERATOR  
INDICATED ABOVE.**

**The Official Filing Receipt shows a typographical error under the heading  
"FOREIGN APPLICATIONS". The priority date of United Kingdom 9904251.7  
should be 02/24/1999, NOT 02/27/1999.**

**A copy of the change on the receipt is attached, together with a copy of the  
transmittal cover sheet.**

**It is further requested that a new Official Filing Receipt be issued when the Patent  
and Trademark Office records have been corrected.**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Mewburn

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
not yet assigned

INTERNATIONAL APPLICATION NO.  
PCT/GB00/00654

INTERNATIONAL FILING DATE  
24 February 2000

PRIORITY DATE CLAIMED  
24 February 1999

TITLE OF INVENTION

FLAVOUR/AROMA MATERIALS AND THEIR PREPARATION

APPLICANT(S) FOR DO/EO/US

CHEETHAM, Peter Samuel James; CRADLEY, Michelle Lorraine; SIME, John Thomas

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

not yet assigned

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21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       |
|---|--------------|--------------|------------|
| Total claims                                | 45 - 20 =    | 25           | x \$18.00  |
| Independent claims                          | 12 - 3 =     | 9            | x \$80.00  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$270.00 |

**TOTAL OF ABOVE CALCULATIONS =**☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +**TOTAL FEES ENCLOSED =**

|                           |    |
|---------------------------|----|
| Amount to be<br>refunded: | \$ |
| charged:                  | \$ |

- a. ☒ A check in the amount of \$ 1,055.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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1601 Market Street, Suite 720  
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SIGNATURE

Kathleen D. Rigaut, Ph.D., J.D.

NAME

43,047

REGISTRATION NUMBER



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/914,224         | 08/23/2001  | 1761         | 1015          | Mewburn          |          | 45         | 11         |

CONFIRMATION NO. 1819

## FILING RECEIPT



\*OC000000006954539\*

Dann Doreman Herrell And Skillman  
1601 Market Street  
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Date Mailed: 10/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Peter Samuel James Cheetham, Tunbridge Wells, UNITED KINGDOM;  
Michelle Lorraine Gradley, Canterbury, UNITED KINGDOM;  
John Thomas Sime, Ashford, UNITED KINGDOM;

### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB00/00654 02/24/2000

### Foreign Applications

UNITED KINGDOM 9804251.7 02/27/1999 02/24/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

### Title

Flavour/aroma materials and their preparation